

SMT Solving

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University of Virginia

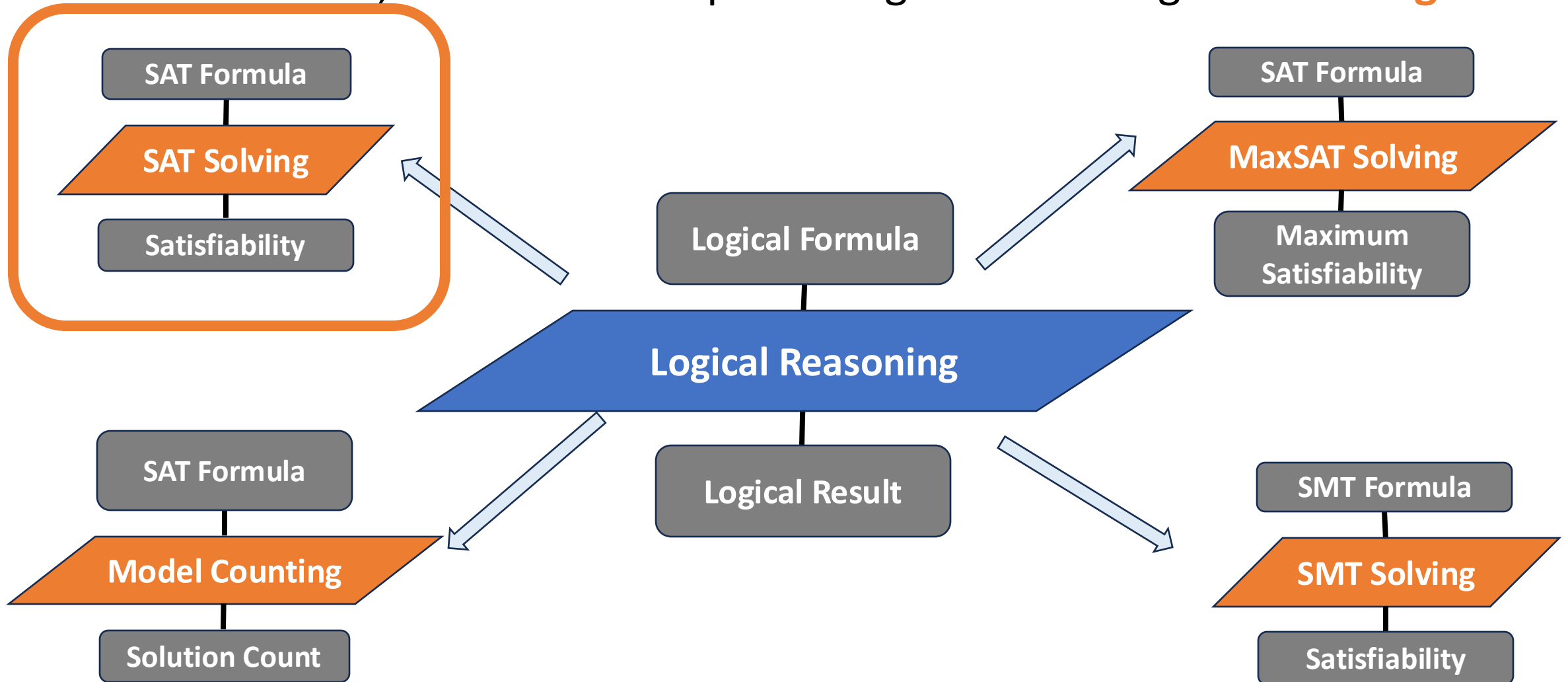
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Recap

Logical Reasoning

In this lecture, we focus on a specific logical reasoning- **SAT solving**



Logical Reasoning

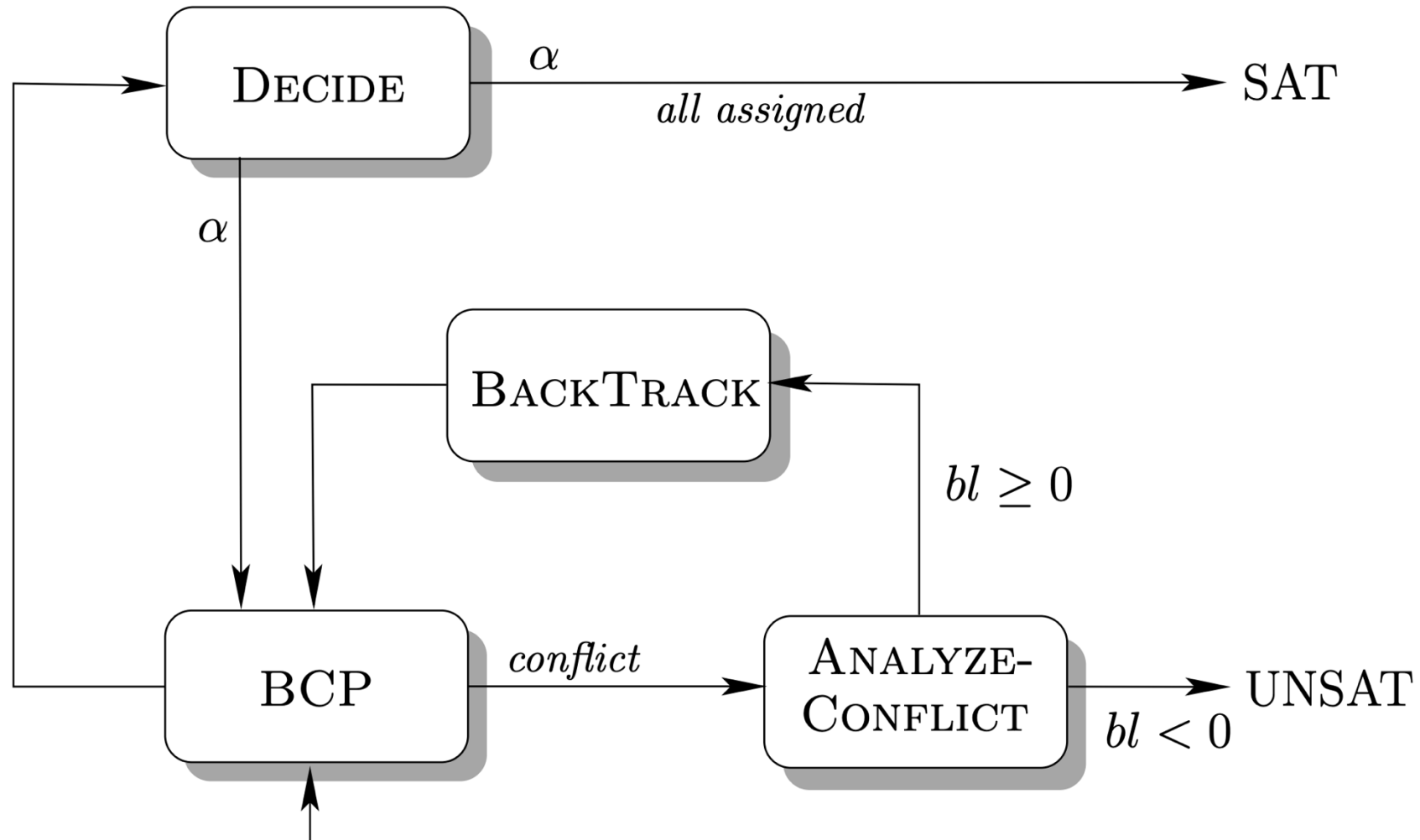
Propositional Logic

Variables: Boolean

Operators sorted by precedence:

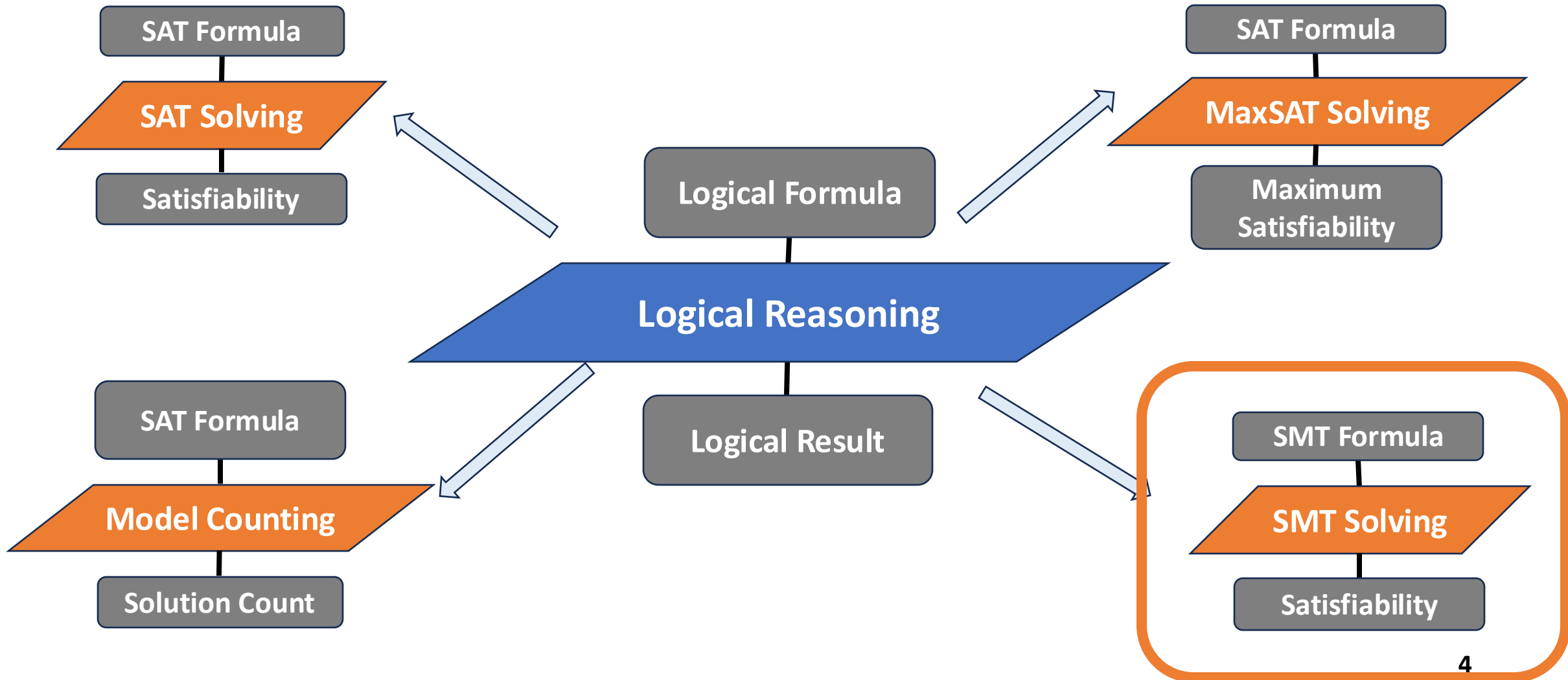
\neg \gg \wedge \gg \vee \gg \Rightarrow \gg \Leftrightarrow

General Workflow



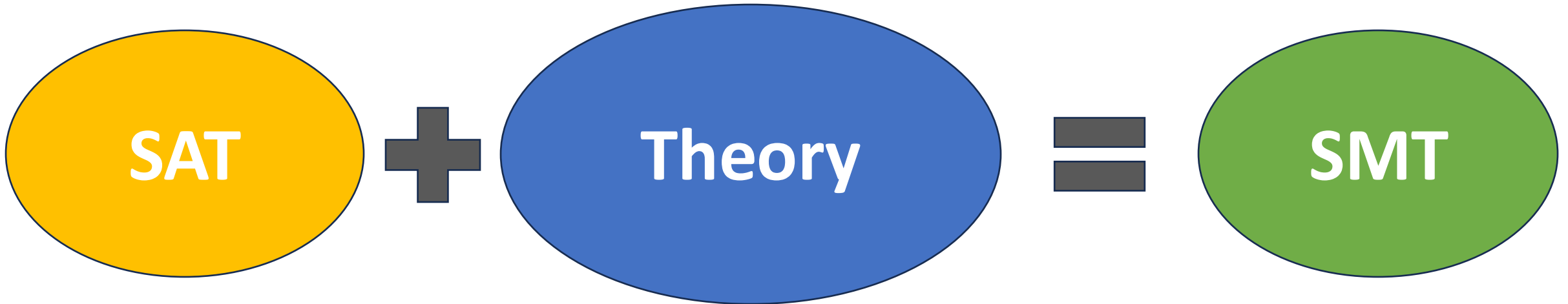
Logical Reasoning

In this lecture, we focus on **SMT solving**



SMT Solving

SMT: Satisfiability Modulo Theory



Bit vectors: $((a \gg b) \& c) < c$

Arithmetic: $(2x + 3y \leq 5) \vee (y + 5y - 10z \geq 6)$

Equality: $y1 = y2 \wedge \neg(y1 = y3) \Rightarrow \neg(y2 = y3)$

Arrays: $(i = j \wedge a[j] = 1) \Rightarrow a[i] = 1$

... ..

SMT Solving

First-order logic

First-order logic extends propositional logic with **quantifiers** and the **nonlogical symbols**, representing all kinds of **theories**.

Bit vectors: $((a \gg b) \& c) < c$

Arithmetic: $(2x + 3y \leq 5) \vee (y + 5y - 10z \geq 6)$

Equality: $y_1 = y_2 \wedge \neg(y_1 = y_3) \Rightarrow \neg(y_2 = y_3)$

Arrays: $(i = j \wedge a[j] = 1) \Rightarrow a[i] = 1$

... ..

Example:

- $\exists y \in \mathbb{Z}. \forall x \in \mathbb{Z}. x > y$,
 - $\forall n \in \mathbb{N}. \exists p \in \mathbb{N}. n > 1 \Rightarrow (isprime(p) \wedge n < p < 2n)$,
- where “>”, “isprime” are nonlogical symbols

SMT Solving

Let's focus on a simple case:
From Propositional to Quantifier-Free Theories

Example:

$$F = \underbrace{x_1 + x_2 > 9}_{b1} \vee x_2 \neq x_3 \wedge \underbrace{x_2 = x_3}_{b2} \Leftrightarrow \underbrace{x_4 > x_5}_{b3} \wedge A \wedge \neg B$$

Propositional Skeleton $PS_F = (b1 \vee \neg b2) \wedge b2 \Leftrightarrow b3 \wedge A \wedge \neg B$

$b1: x_1 + x_2 > 9$

can be denoted as
 $e(x_1+x_2>9)$

$b2: x_2 = x_3$

can also be denoted
as $e(x_2 = x_3)$

$b3: x_4 > x_5$

can also be denoted
as $e(x_4 > x_5)$

SMT Solving

Interpretation of symbols is important!

Syntax to Semantics

Example

$F : x + y > z \Rightarrow y > z - x$

We construct a “standard” interpretation I

The domain is the integers, $\mathbb{Z} : D_I = \mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$

$\alpha_I : \{ + \mapsto +\mathbb{Z}, - \mapsto -\mathbb{Z}, > \mapsto >\mathbb{Z}, x \mapsto 10, y \mapsto 39, z \mapsto -2 \}$

SMT Solving

T-satisfiability

Let T be a Σ -theory.

A Σ -formula φ is T-satisfiable if there exists an interpretation I such that the interpretation satisfies φ , $I \models \varphi$.

SMT Solving

Two main approaches for SMT

Lazy Approach:

Integrate a theory solver with a CDCL solver for SAT

Eager Approach

Encode the SMT formula to a equi-satisfiable SAT formula

Lazy SMT Solving

General Algorithm

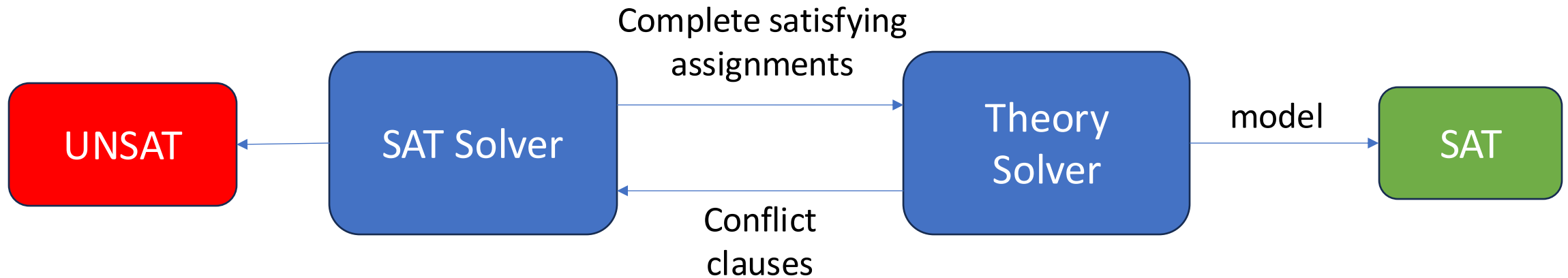
```
Algorithm    LAZY-CDCL

Input:  A formula  $\varphi$ 
Output: “Satisfiable” if the formula is satisfiable, and “Unsatisfiable”
        otherwise

1. function LAZY-CDCL
2.   ADDCLAUSES(cnf(e( $\varphi$ )));
3.   while (TRUE) do
4.     while (BCP() = “conflict”) do
5.       backtrack-level := ANALYZE-CONFLICT();
6.       if backtrack-level < 0 then return “Unsatisfiable”;
7.       else BackTrack(backtrack-level);
8.     if  $\neg$ DECIDE() then                                      $\triangleright$  Full assignment
9.        $\langle t, res \rangle :=$  DEDUCTION( $\hat{T}h(\alpha)$ );            $\triangleright \alpha$  is the assignment
10.      if res = “Satisfiable” then return “Satisfiable”;
11.      ADDCLAUSES(e(t));
```

Lazy SMT Solving

General framework

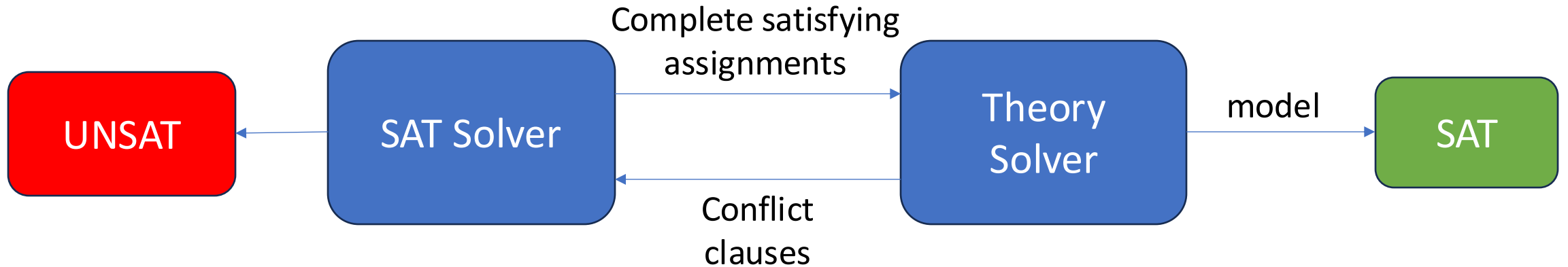


Lazy SMT Solving

Running Example

$$(x = y) \wedge (y = z) \wedge (x \neq z)$$

Propositional skeleton **PS**: **b1** \wedge **b2** \wedge **b3**

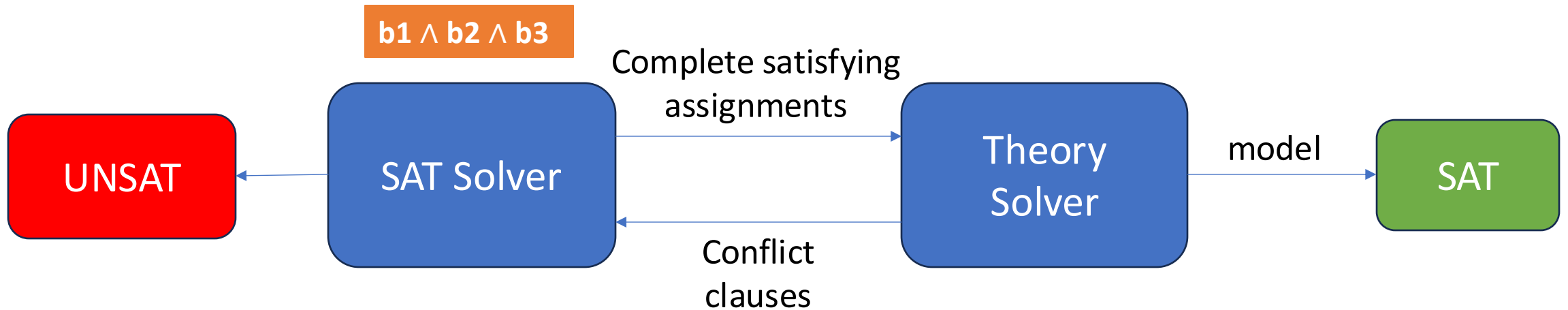


Lazy SMT Solving

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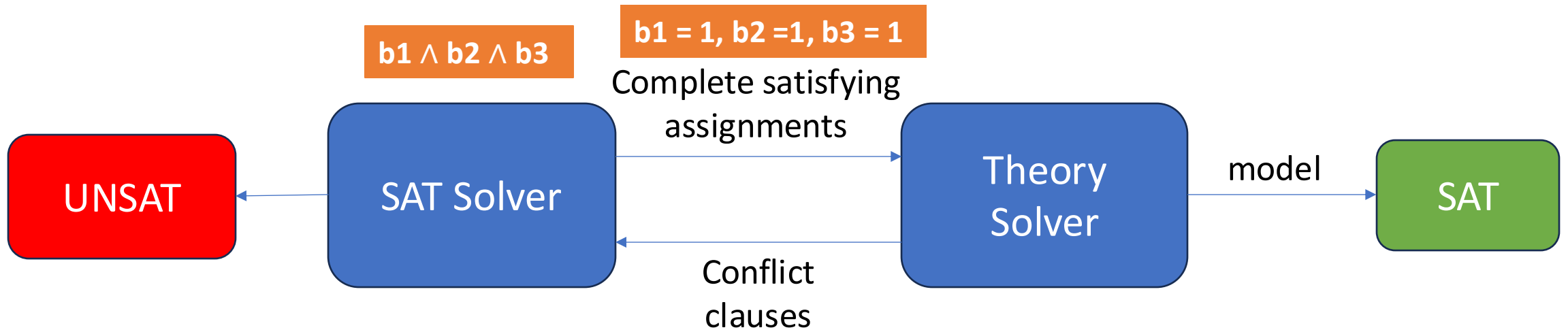


Lazy SMT Solving

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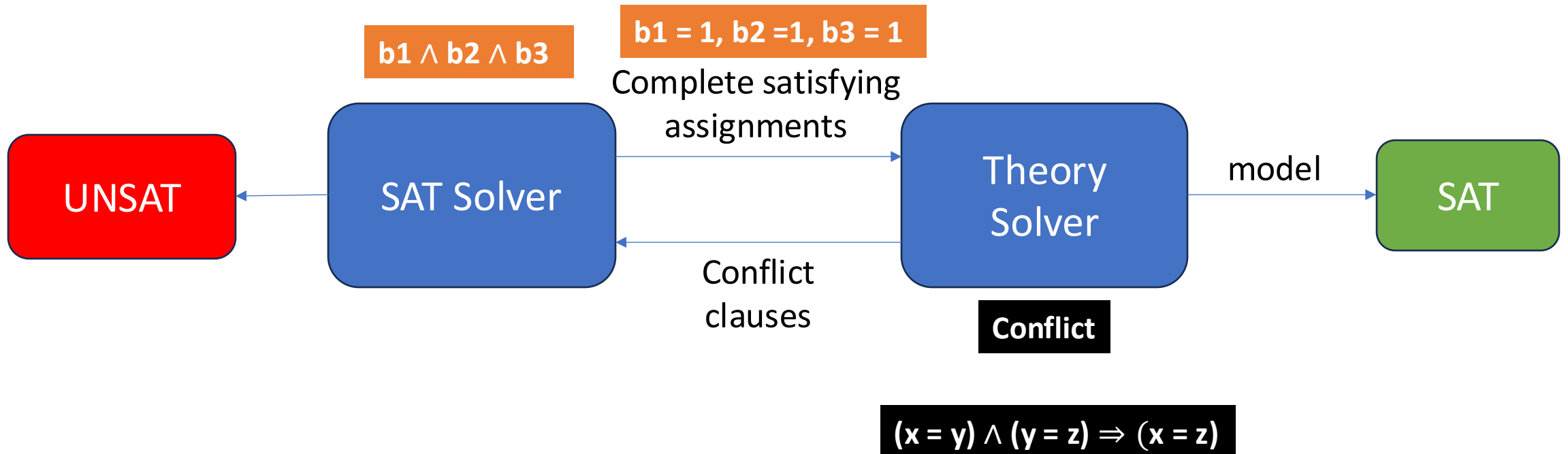


Lazy SMT Solving

Running Example

$$(x = y) \wedge (y = z) \wedge (x \neq z)$$

Propositional skeleton **PS**: $\mathbf{b1} \wedge \mathbf{b2} \wedge \mathbf{b3}$

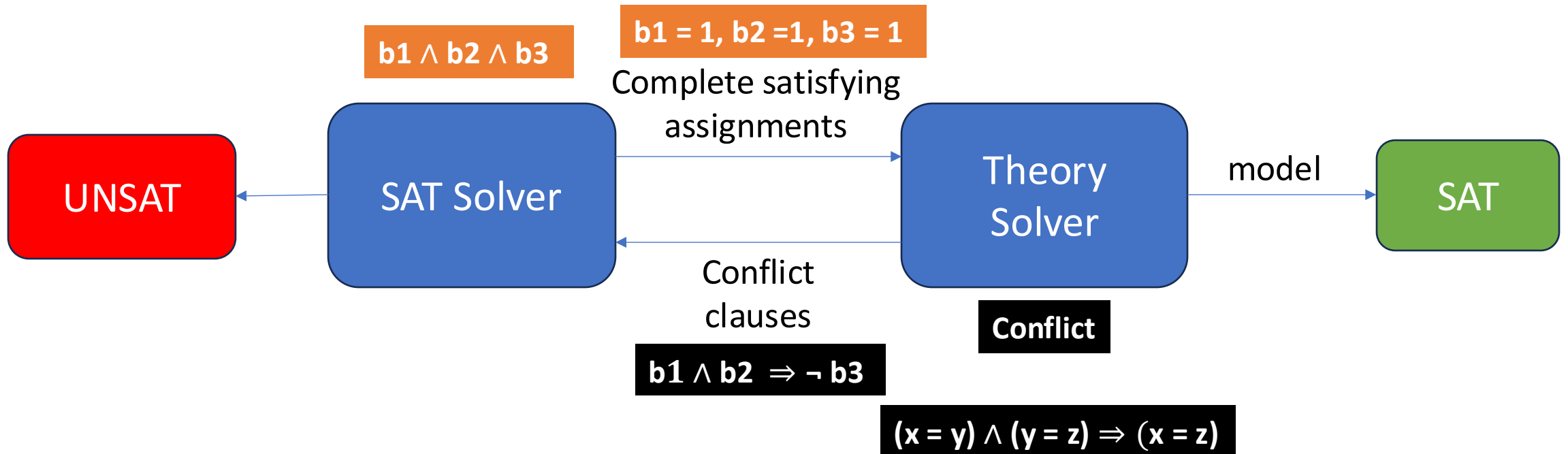


Lazy SMT Solving

Running Example

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Propositional skeleton **PS**: $b1 \wedge b2 \wedge b3$

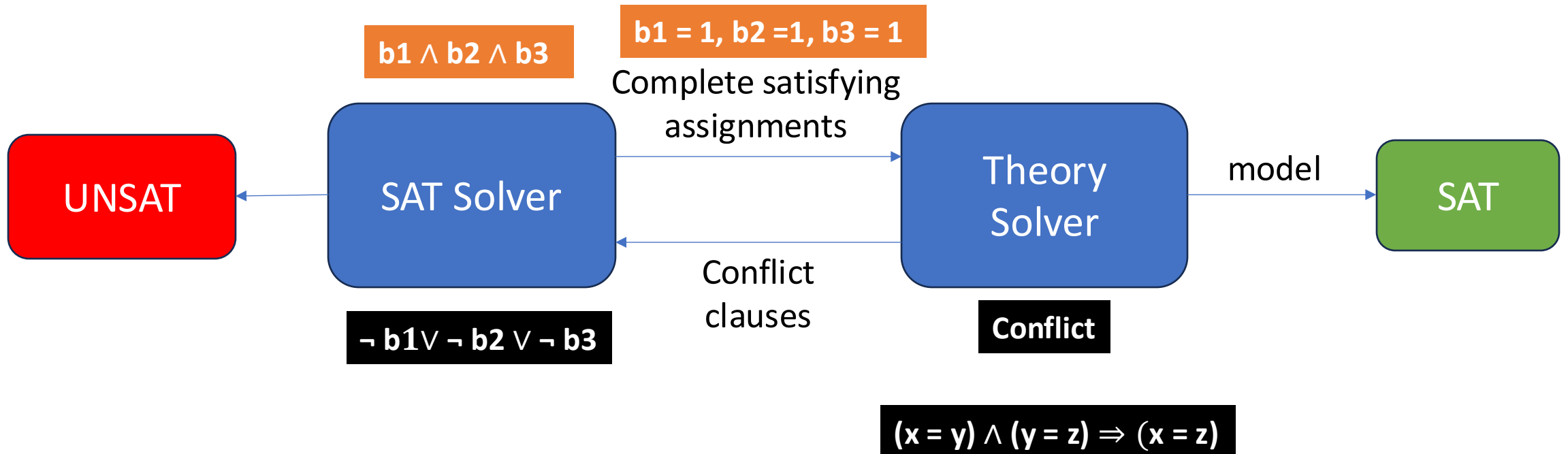


Lazy SMT Solving

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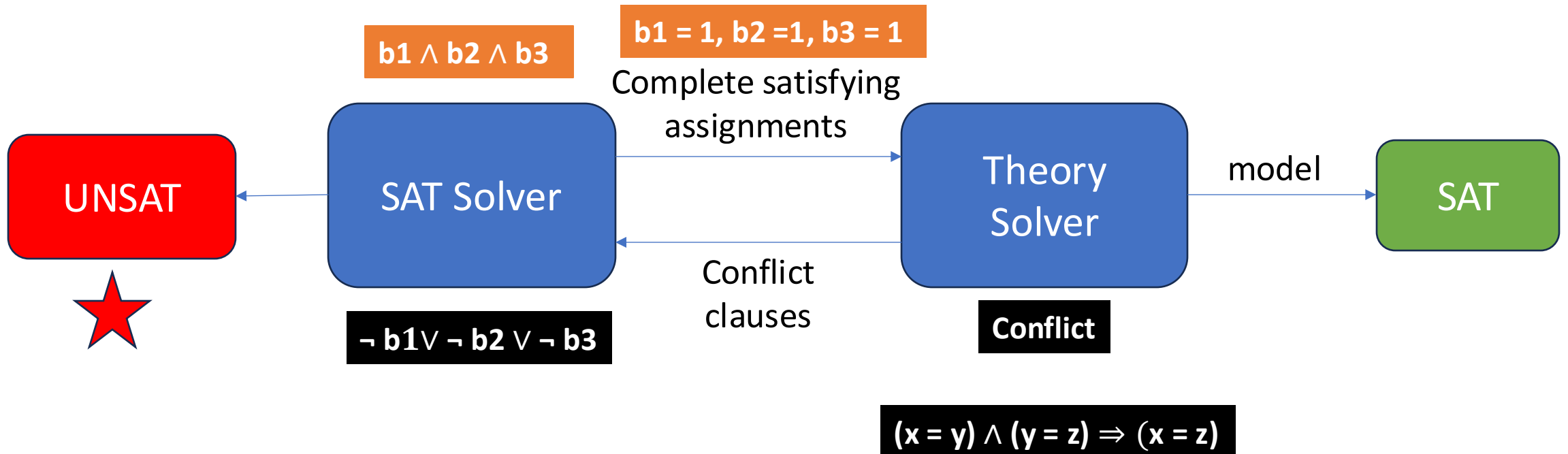


Lazy SMT Solving

Running Example

$$(x = y) \wedge (y = z) \wedge (x \neq z)$$

Propositional skeleton **PS**: $b1 \wedge b2 \wedge b3$



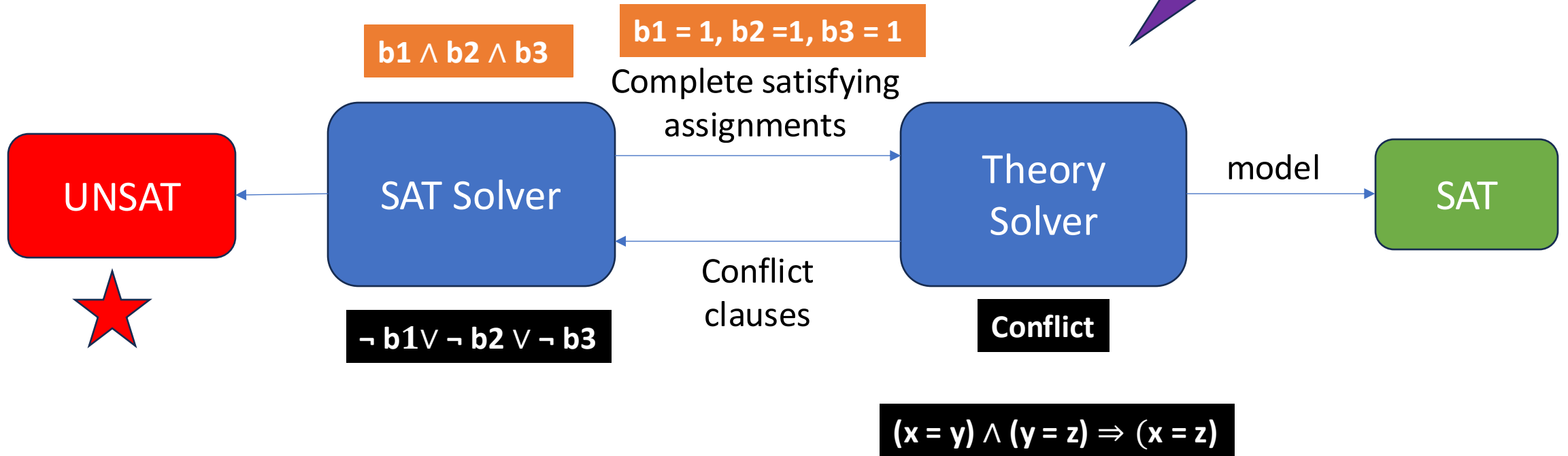
Lazy SMT Solving

Running Example

$$(x = y) \wedge (y = z) \wedge (x \neq z)$$

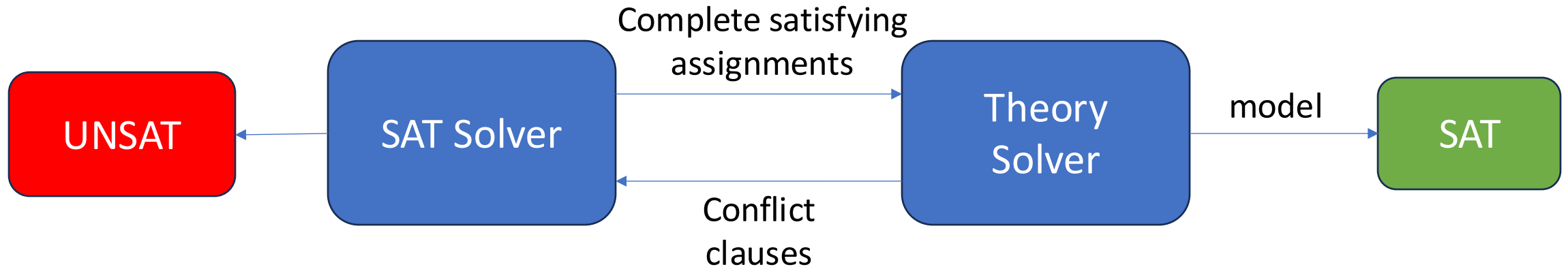
Propositional skeleton PS: $b1 \wedge b2 \wedge b3$

Lazy SMT!



Lazy SMT Solving

Still not efficient enough ...



Example, a formula that contains literals

$x_1 \geq 12$ and $x_1 < 2$

x_1 is integer

Assume SAT assigns $e(x_1 \geq 12) \mapsto \text{true}$ and $e(x_1 < 2) \mapsto \text{true}$.

Any call to Theory solver results in a contradiction between these two facts.

Lazy SMT solving does not call Theory solver until a full satisfying assignment is found.

waste time to compute the complete SAT assignment

Improvements: DPLL(T)

Do Theory Propagation when the SAT assignment is still partial!

Example

a formula that contains literals $x_1 \geq 12$ and $x_1 < 2$

Assume SAT makes $e(x_1 \geq 12)$ **true**,

Theory solver detects that $\neg(x_1 < 2)$ is implied, that is

$$e(x_1 \geq 12) \Rightarrow \neg e(x_1 < 2)$$

Then $\neg e(x_1 \geq 12) \vee \neg e(x_1 < 2)$ is added to SAT solver

Improvements: DPLL(T)

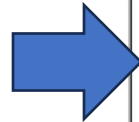
Call theory solver to do Theory Propagation
when the SAT assignment is still partial!

Algorithm LAZY-CDCL

Input: A formula φ

Output: “Satisfiable” if the formula is satisfiable, and “Unsatisfiable” otherwise

```
1. function LAZY-CDCL
2.   ADDCLAUSES(cnf(e( $\varphi$ )));
3.   while (TRUE) do
4.     while (BCP() = “conflict”) do
5.       backtrack-level := ANALYZE-CONFLICT();
6.       if backtrack-level < 0 then return “Unsatisfiable”;
7.       else BackTrack(backtrack-level);
8.     if  $\neg$ DECIDE() then
9.        $\langle t, res \rangle :=$  DEDUCTION( $\hat{T}h(\alpha)$ );
10.      if res = “Satisfiable” then return “Satisfiable”;
11.      ADDCLAUSES(e(t));
```



Algorithm DPLL(T)

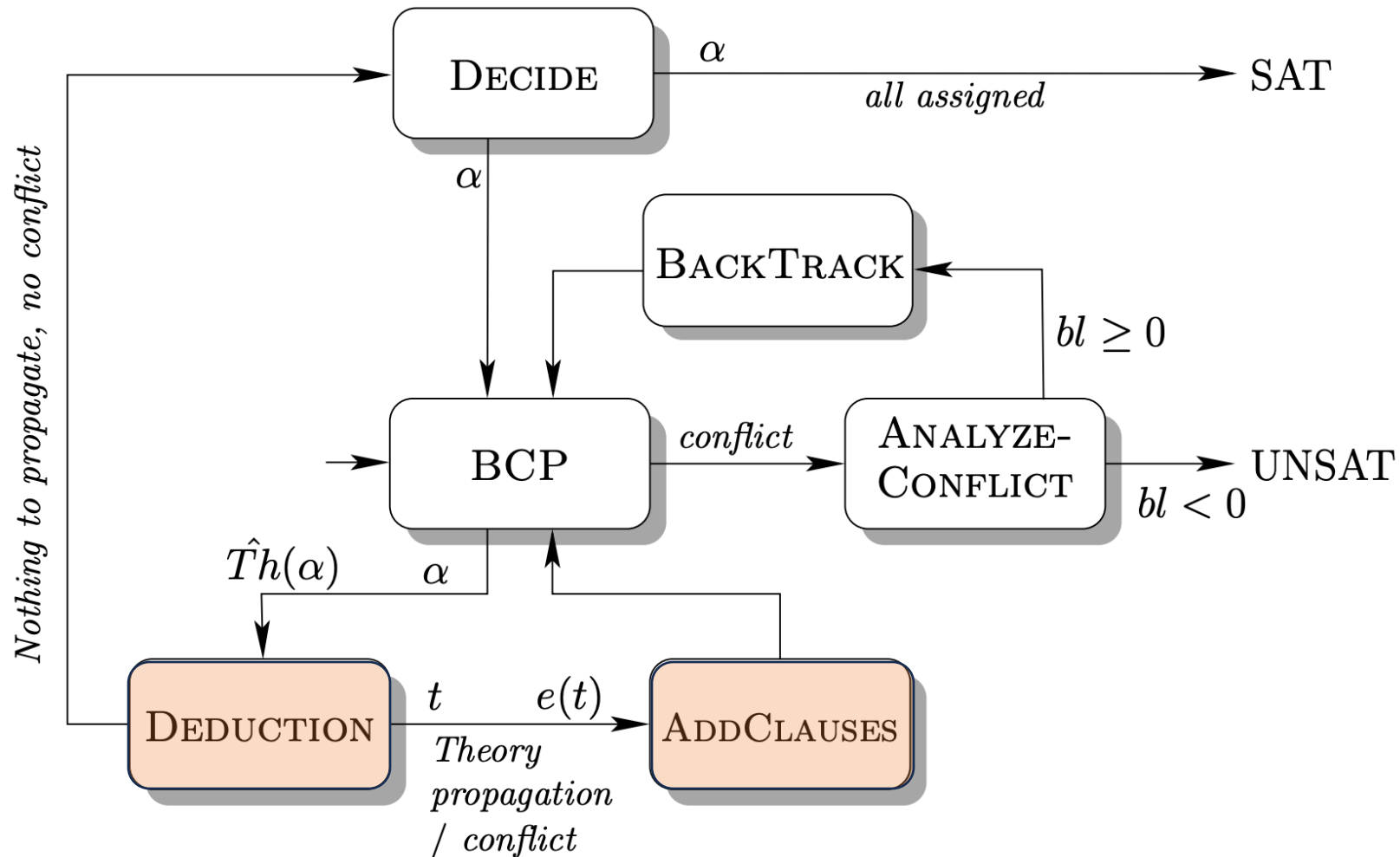
Input: A formula φ

Output: “Satisfiable” if the formula is satisfiable, and “Unsatisfiable” otherwise

```
1. function DPLL(T)
2.   ADDCLAUSES(cnf(e( $\varphi$ )));
3.   while (TRUE) do
4.     repeat
5.       while (BCP() = “conflict”) do
6.         backtrack-level := ANALYZE-CONFLICT();
7.         if backtrack-level < 0 then return “Unsatisfiable”;
8.         else BackTrack(backtrack-level);
9.          $\langle t, res \rangle :=$  DEDUCTION( $\hat{T}h(\alpha)$ );
10.        ADDCLAUSES(e(t));
11.     until t  $\equiv$  TRUE;
12.     if  $\alpha$  is a full assignment then return “Satisfiable”;
13.     DECIDE();
```


Improvements: DPLL(T)

Call theory solver to do Theory Propagation
when the SAT assignment is still partial!



Improvements: DPLL(T)

Call theory solver to do Theory Propagation when the SAT assignment is still partial!

